

Audit Committee Meeting Chief Internal Auditor Report

Deborah Hall

Chief Internal Audit

Interim Chief Compliance & Privacy Officer

January 25, 2023

INTERNAL AUDIT REPORT AGENDA

- Internal Audit Update
- Partners in C.A.R.E. (Compliance & Audit Resource Engagement)
- Issue Follow-up
- FY 23 Workplan Status

Internal Audit Update

FY22 INTERNAL AUDIT UPDATE

Audits Completed (16)

- Annual Inventory (FY21)
- Vendor Compliance OneBlood Audit
- Balanced Scorecard Annual Review (FY21)
- Imaging Equipment Maintenance Audit
- Healthcare for the Homeless (Subrecipient Monitoring)
- Cerner Contract Review
- Uniform Data System Table Review
- Expense Reimbursement Audit
- Annual Inventory (FY22)
- Telehealth Audit
- Balanced Scorecard Annual Review (FY22) – Issued 10.27.2022
- Laboratory Operations Audit – Issued 11.17.2022
- Ryan White Grant Review – Revised Report 11.22.2022
- Broward Health Foundation Donations Audit – Issued 12.15.2022
- Unclaimed Property (Escheatment) Audit – Issued 12.20.2022
- Facilities Safety and Security Audit – Issued 12.22.2022

***Partners in C.A.R.E.
(Compliance & Audit Resource Engagement)***

INTERNAL AUDIT PARTNERS IN C.A.R.E

To partner with the organization on all efforts and providing tools that stakeholders can use, we created Partners in C.A.R.E. (**Compliance & Audit Resource Engagement**).

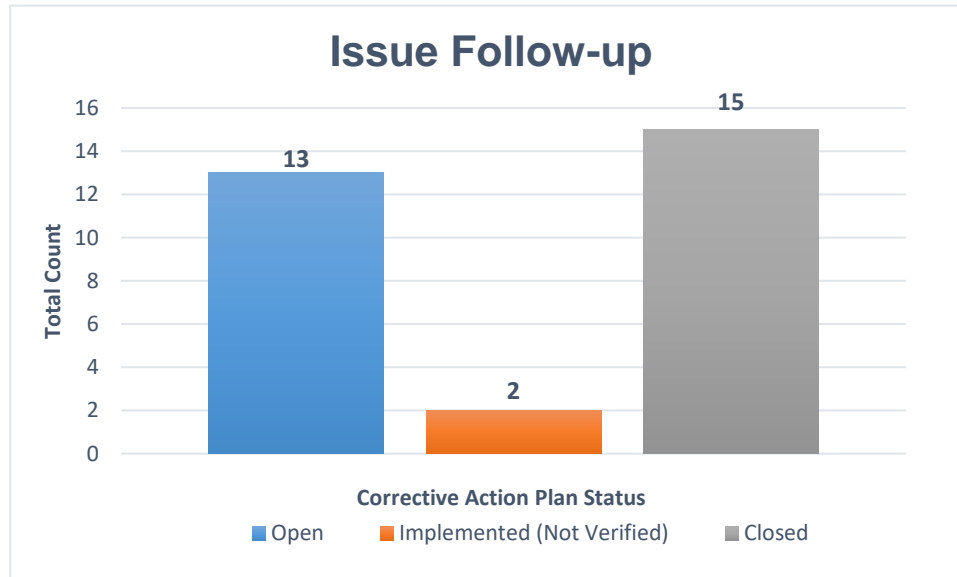
Some of the recent Partners in C.A.R.E initiatives include:

- Verizon Billing Cost Savings Opportunities - Advisory Memo Issued 11.22.2022
- System Security Audit Report (SSAR) Continuous Monitoring – 1Q23
- Pager Usage Cost Savings Opportunities – Management request

***Internal Audit
Issue Follow-up Update***

FY23 IAD ISSUE FOLLOW-UP UPDATE

During FY23, nine audits were completed, with a total of 30 Corrective Action Plans (CAPs) provided by management. The following graph provides a summary of the implementation status of the CAPs.



Status Definitions	
Open	CAP not implemented.
Implemented (Not Verified)	CAP completed. Pending IAD verification and testing.
Closed	CAP completed. Verified by IAD as implemented.

FY23 INTERNAL AUDIT UPDATE

Audit Activity	FY 2023																				
	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D		
CDTC Foundation			█												◆						
Biomed Contract and Implementation								█													
Benefits Eligibility								█													
Grants Review										█											
Access - Vendor Badge									█												
Access - Badge									█												
Workforce Terminations								█													
Validation of Unit Mapping										█											
OR Charge Reconciliation – Anesthesia								█													
Price Transparency Act								█													
Annual Inventory														█							
Balanced Scorecard																	█				
Board Committee Reporting		◆				◆			◆			◆				◆				◆	