



MINNESOTA STATE
Board of Trustees

AGENDA ITEM SUMMARY

NAME: Closed Joint Audit / Finance and Facilities Committee

DATE: November 16, 2022

TITLE: Internal Audit IT Security Audit Update

Proposed New Policy or
Amendment to Existing Policy

Approvals Required by
Policy

Other Approvals

Monitoring/Compliance

Information

PRESENTERS

Amy Jorgenson, Chief Audit Officer

Mike Cullen, Internal Auditing (Partner, Baker Tilly)

PURPOSE

The overall objective of Information Security Consultation advisory project was to assist management in developing a strategy for a sustainable program for conducting ongoing information security assessments of the “Top 5 Information Security Domains” across Minnesota State’s colleges, universities, and the system office to help ensure the domains and associated controls are implemented and provide management insight into the protection of systems and data.

BACKGROUND INFORMATION

Internal auditing collaborated with the System Office’s Information Security, Risk, and Compliance Office on the project. It was broken into three separate phases.

- Phase 1 focused on assessing the design of the overall information security program and the Top 5 Information Security Domains.
- Phase 2 focused on developing an assessment methodology and piloting that methodology with four institutions and the system office.
- Phase 3 evaluated potential assessment models and approaches for management to consider when designing an assessment program.

Separate reports were previously issued for all three phases. In addition, reports have been issued for several of the domains in past years.