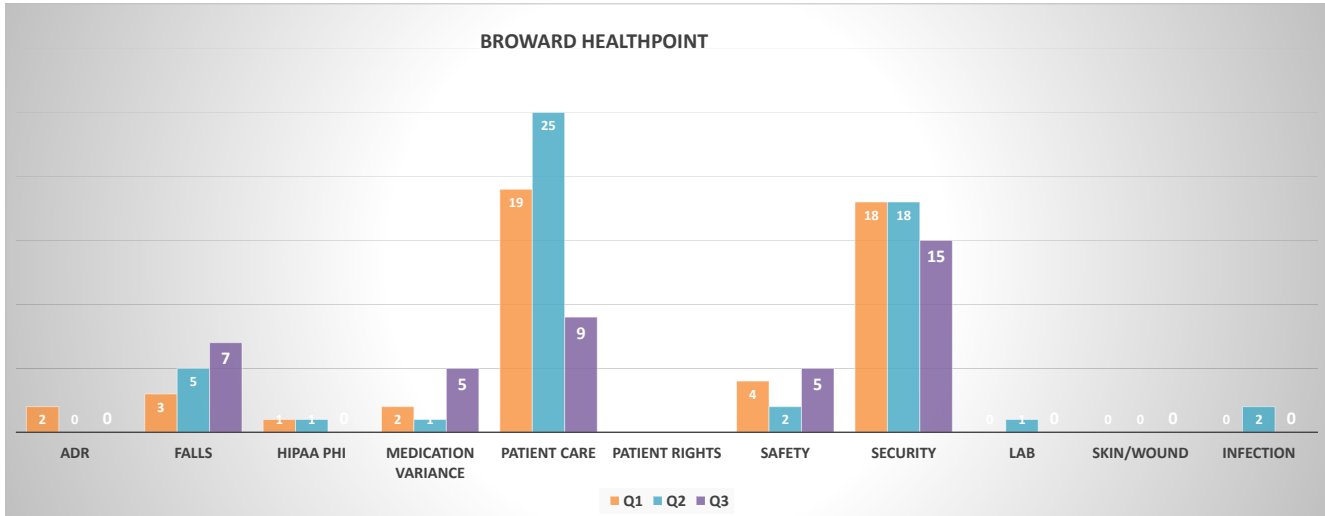


BROWARD HEALTH AMBULATORY SERVICES - RISK MANAGEMENT QUARTERLY REPORT QUARTER 3

BROWARD HEALTHPOINT	Jan	Feb	Mar	1st Qtr	Apr	May	June	2nd Qtr	July	Aug	Sept	3rd Qtr	Total CY22
ADR		1	1	2				0				0	2
Falls	2		1	3	1	2	2	5	4	2	1	7	15
HIPAA PHI		1		1	1			1				0	2
Medication Variance		1	1	2			1	1	2	3		5	8
Patient Care	4	9	6	19	9	11	5	25	2	3	4	9	53
Patient Rights				0				0				0	0
Safety	4			4		1	1	2	2	2	1	5	11
Security	6	6	6	18	5	5	8	18	3	9	3	15	51
Lab				0			1	1				0	1
Skin/Wound				0				0				0	0
Infection				0	1		1	2				0	2
Totals		18	15	49	17	19	19	55	13	19	9	41	145



Total of 41 occurrences reported.

Seven falls. Visitor fall due to previous issue with her foot, wearing flipflops, no environmental hazards or injuries. APRN fell by planter next to bike rack, facilities filled planter with gravel and painted red line next to it. Child fall during play, no injuries. Patient found on pavement outside facility, 911 called. Patient missed chair and fell, no injuries. Patient found on floor due to seizure, transferred to ED. Employee fell from chair, employee health notified, mat to be provided.

Five medication variances. Patient changed from insulin pen to vials and did not receive syringes, delivered. Medication found in refrigerator when supposed to be in room temperature, re-education provided. Pharmacy inventory noted lower dose of Albuterol administered, re-education of nurse. Wrong dose provided but noted before patient took medication, pharmacist instructed to let tech write the prescriptions and focus on PV1 and PV2. Patient received half dose due to leaking, physician notified, and patient returned, training scheduled for all MAs administering Cabotegravir.

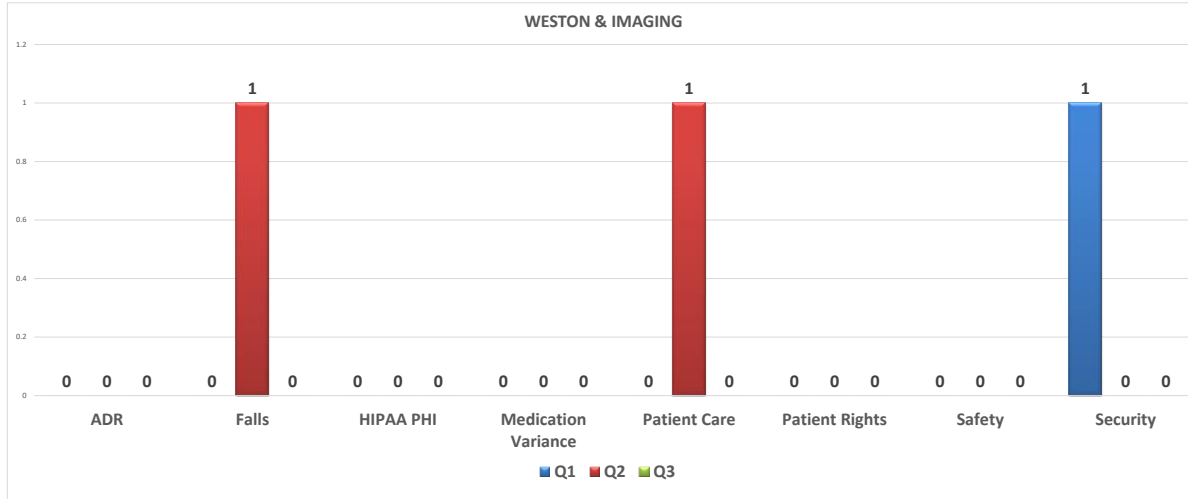
Nine patient care. Six transfers to higher level of care due to clinical conditions. One AMA due to transfer refusal. One LWBS. One allegation of abuse overheard, upon investigation this was denied, reviewed video surveillance, unsubstantiated.

Five safety. Exit light not working, workorder entered. Patient found on floor outside facility, assisted to wheelchair. Water damage observed, operations resumed after roofing repairs. Toilet overflowing, facilities repaired on same day. Employee hit head on overhead cabinet, employee health to follow up.

Fifteen security. Two threats of violence, security alerted. Three patient aggressive behaviors handled by security. Verbal abuse from patient. One MVA, police arrived, and victim transported to hospital. Patient spit on employee in parking lot, police called, behavioral and psych issues, community resources engaged. Security presence requested for patient who seemed not feeling well outside, patient refused care. Bag found with apparent drugs, police contacted, security re-educated on proper procedures. Police called due to homeless sleeping around clinic refusing to leave. Damage to gate from MVA. Car damaged in parking lot, police report. Individual entered clinic without security notice. Patient left property in waiting room.

BROWARD HEALTH AMBULATORY SERVICES - RISK MANAGEMENT QUARTERLY REPORT QUARTER 3

WESTON & IMAGING	Jan	Feb	Mar	1st Qtr	Apr	May	June	2nd Qtr	July	Aug	Sept	3rd Qtr	Total CY22
ADR				0				0				0	0
Falls				0	1			1				0	1
HIPAA PHI				0				0				0	0
Medication Variance				0				0				0	0
Patient Care				0	1			1				0	1
Patient Rights				0				0				0	0
Safety				0				0				0	0
Security		1		1				0				0	1
Totals	0	1	0	1	2	0	0	2	0	0	0	0	3

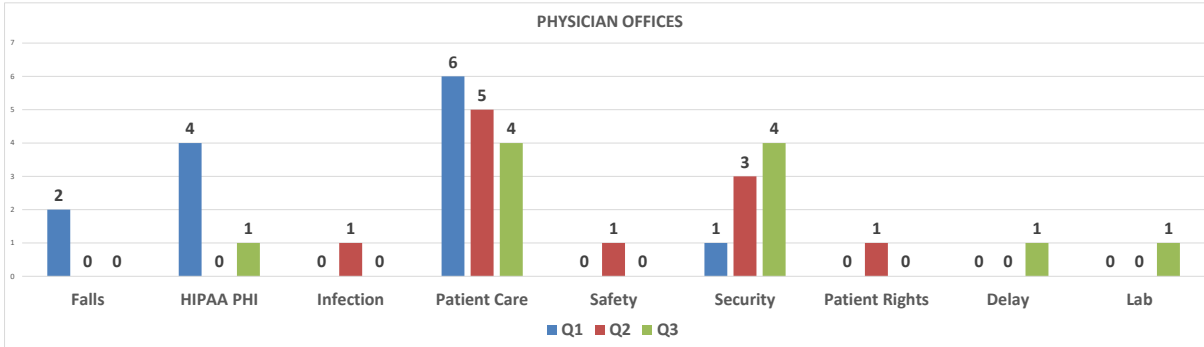


No reports during 3rd quarter.

Risk Manager met with Director of Operations, will include 30 minutes RM presentation to next staff development meeting. RM will spend time at UCC on 10/17 and 10/18/22 accompanying staff for observations and assessment of needs.

BROWARD HEALTH AMBULATORY SERVICES - RISK MANAGEMENT QUARTERLY REPORT QUARTER 3

PHYSICIAN OFFICES	Jan	Feb	Mar	1st Qtr	Apr	May	June	2nd Qtr	July	Aug	Sept	3rd Qtr	Total CY22
Falls		2		2				0				0	2
HIPAA PHI		2	2	4				0		1		1	5
Infection				0		1		1				0	1
Patient Care	3	3		6	1	3	1	5	1	2	1	4	15
Safety				0		1		1				0	1
Security			1	1		2	1	3	3		1	4	8
Patient Rights				0		1		1				0	1
Delay				0				0	1			1	1
Lab				0				0		1		1	1
Totals	3	7	3	13	1	8	2	11	5	4	2	11	35



Total of 11 reports.

HIPAA/PHI related email with week batch posting containing patient information sent by mistake to a parent's email. Considered a breach by compliance, corrective action process and retraining of employee.

Four patient care. Two patient disruptive behaviors with expected behavior addressed with patients. Patient non-compliance with face mask protocol. Baker Act reviewed by medical director, meeting in person with office staff and providers, BA process, policy and appropriate forms reviewed.

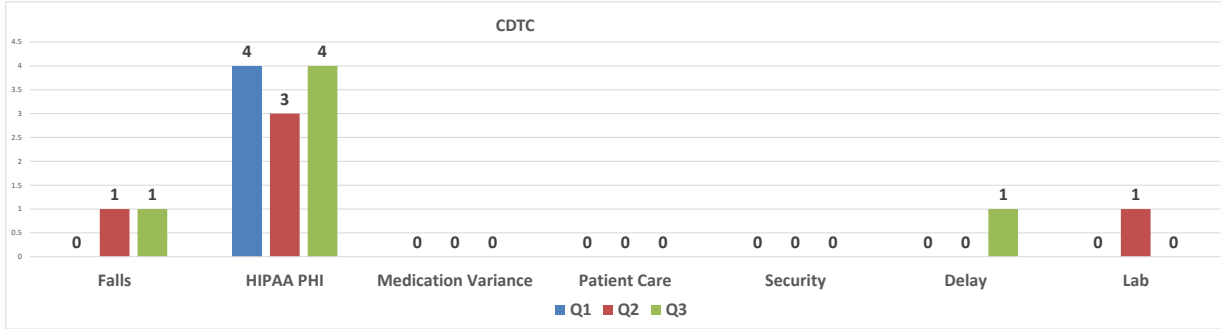
Four security. One police event outside office, unrelated, safety precautions followed. One missing keys. One patient aggressive behavior. Missing cash from deposit bag. Bags were found cut. Police report done. Office was without credit card machine and patient paid cash for tests. Process changed, money to be kept at safe in supervisor's office, supervisor involved in two-person checks. Credit card machine fixed.

Delay in discussing MRI results reviewed by medical director, physician instructed of need to relay abnormal test results directly to patients.

Lab waste, patient returned for redraw and MA re-educated regarding lab handling and processing.

BROWARD HEALTH AMBULATORY SERVICES - RISK MANAGEMENT QUARTERLY REPORT QUARTER 3

CDTC	Jan	Feb	Mar	1st Qtr	Apr	May	June	2nd Qtr	July	Aug	Sept	3rd Qtr	Total CY22
Falls				0		1		1			1	1	2
HIPAA PHI		3	1	4	1	1	1	3		2	2	4	11
Medication Variance				0				0				0	0
Patient Care				0				0				0	0
Security				0				0				0	0
Delay				0				0			1	1	1
Lab				0		1		1				0	1
Totals	0	3	1	4	1	3	1	5	0	2	4	6	15



Total of 6 events.

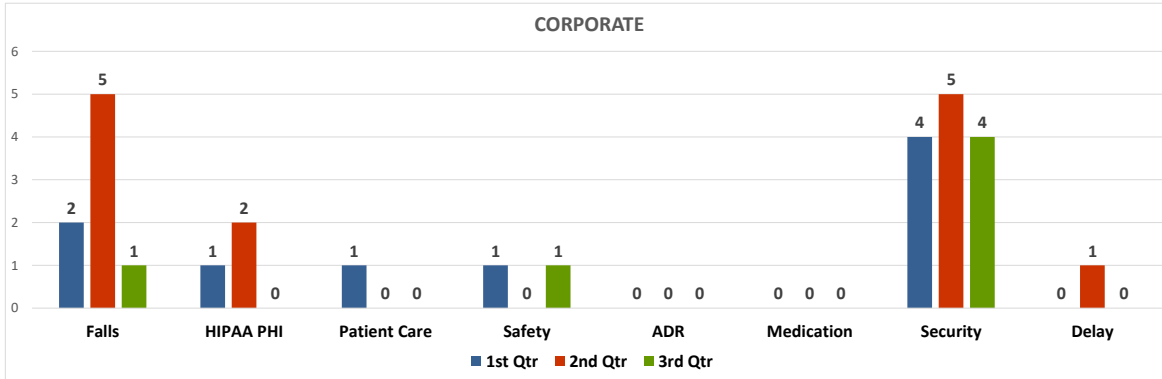
Child fall at Early Steps with minor cut.

Four HIPAA/PHI. Early steps IFSP form emailed to insurance when client was no longer a member. IFSP form sent to wrong provider due to incorrect information listed on clerk's form. Wrong patient form attached to Teams invite. No breaches per compliance and corrective action with employees. Early Steps contractor posted video of client on Instagram without parent's permission. Provider received operational retraining and completed Personal Cell Phone Usage and Social Media Accounts attestation.

Delay to dispense medication due to outside pharmacy refusing to reverse claim, resolved same day.

BROWARD HEALTH AMBULATORY SERVICES - RISK MANAGEMENT QUARTERLY REPORT QUARTER 3

CORPORATE	Jan	Feb	Mar	1st Qtr	Apr	May	June	2nd Qtr	July	Aug	Sept	3rd Qtr	Total CY22
Falls		1	1	2	3	2		5	1			1	8
HIPAA PHI			1	1			2	2				0	3
Patient Care			1	1				0				0	1
Safety	1			1				0		1		1	2
ADR				0				0				0	0
Medication				0				0				0	0
Security	2	1	1	4	3	2		5			4	4	13
Delay				0	1			1				0	1
Totals	3	2	4	9	7	4	2	13	1	1	4	6	28



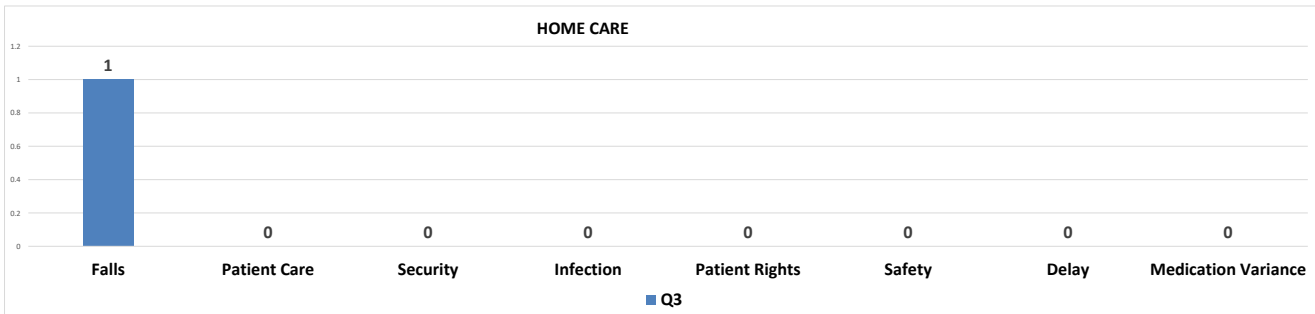
Total of 6 occurrences reported.

Employee fall due to leg weakness and pain, no injuries.

Safety related to employee minor finger cut during orientation.

Four security. MVA involving BH vehicle, claims and insurance notified, no injuries. Three other MVA involving employee cars in parking lot.

HOME CARE	Jul	Aug	Sep	3rd Qtr	Total CY22
Falls		1		1	1
Patient Care				0	0
Security				0	0
Infection				0	0
Patient Rights				0	0
Safety				0	0
Delay				0	0
Medication Variance				0	0
Totals	0	1	0	1	1



One employee fall, no environmental factors caused fall. Employee health and worker's compensation notified.

Home Health sold by end of 3rd quarter.